

# SMS ALERTS SERVICE – NDB SECURITIES (PRIVATE) LIMITED

Name: .....

CDS Account Number: .....

Phone Number:.....

NDBS SMS ALERTS is a messaging facility, which will dispatch information regarding your CDS account and transactions in your account via SMS to your mobile phone. Receiving SMS Alerts and information from NDB Securities (Private) Limited (NDBS) on your mobile devices, and your continued use of SMS Alert Service (the "Service") means that you agree to be bound by the following terms and conditions and hereby consent and authorize NDBS to send SMS Alerts to your mobile and to provide your mobile number to Third Party Service Provider(s) to the extent required to provide this Service to you. By requesting this Service, you certify that you are the account holder or you have the account holder's permission to do so.

This Service is made available for your personal-non-commercial use only. You may not send queries of any sort to NDBS SMS alert mobile number. The Service is currently provided as a free service and you are liable for any mobile phone chargers that may incur as a result of using this Service. Please consult your mobile service carrier to determine the chargers for receiving text messages. SMS Alerts usage is limited and any usage over 100 messages per month may lead to restricted use of SMS Alerts.

You must immediately notify us of any unauthorized uses of your mobile or loss of your mobile. It is your responsibility to promptly update any changes to your mobile number. We will not be liable for any acts or omission by you, including any damages of any kind incurred as a result of such acts or omissions. Further you agree that you will neither falsify your phone number nor spoof another individual's phone number. Timely delivery of messages is not guaranteed and your mobile phone carrier may further restrict/block your use of this Service. Before using information received from the Service, special attention should be given to the date and time of the information being displayed.

Although NDBS tries to provide accurate and timely information through this Service, there may be inadvertent technical or factual inaccuracies and typographical errors or may not function in the manner you anticipated. NDBS reserves the right to make changes and corrections at any time, without notice. To the extent permitted by law, we make no representations or warranties regarding the Service, or content that you receive from us, and disclaim any warranties or representations, express or implied, including, without limitation any warranties of merchantability, fitness for a particular purpose, reliability and security and you rely on the Service at your own risk. Furthermore, NDBS is not responsible for circumstances beyond its control, including, without limitation, acts or omissions of others, atmospheric conditions or acts of god. NDBS will not be liable for any delays in the receipt of any SMS Alerts as delivery is subject to the effective transmission from your mobile phone service carrier. NDBS reserves the right to modify and terminate the Service at its sole discretion. Your use of this Service is governed by the laws of Sri Lanka.

You expressly allow us to use your mobile number to notify the following:

### CDS Account Related

- |                     |                          |                              |                          |                              |                          |
|---------------------|--------------------------|------------------------------|--------------------------|------------------------------|--------------------------|
| CDS Account Opening | <input type="checkbox"/> | CDS Account Amendments       | <input type="checkbox"/> | Share Lodgments              | <input type="checkbox"/> |
| Share Transfers     | <input type="checkbox"/> | Payment received (receipts)  | <input type="checkbox"/> | Debtor - Outstanding balance | <input type="checkbox"/> |
| Settlement Cheques  | <input type="checkbox"/> | Daily Sales/Purchase summary | <input type="checkbox"/> |                              |                          |

### Online Trading Related

- |                              |                          |                             |                          |                                 |                          |
|------------------------------|--------------------------|-----------------------------|--------------------------|---------------------------------|--------------------------|
| Online Trading User creation | <input type="checkbox"/> | User ID Activate/Deactivate | <input type="checkbox"/> | Online Trading Login successful | <input type="checkbox"/> |
| Order Placement              | <input type="checkbox"/> | Order Partially fills       | <input type="checkbox"/> | Order Fully Fill                | <input type="checkbox"/> |
| Order Cancel                 | <input type="checkbox"/> | Order Reject                | <input type="checkbox"/> | Order Expire                    | <input type="checkbox"/> |

### Market related

- |                |                          |               |                          |
|----------------|--------------------------|---------------|--------------------------|
| Market updates | <input type="checkbox"/> | Announcements | <input type="checkbox"/> |
|----------------|--------------------------|---------------|--------------------------|

To stop receiving SMS Alerts, you must email (operations@ndbs.lk) or call (+94112131000) NDBS.

1. .... 2. .... 3. ....

Signature/s or Authorized signatures:

Date: .....